Due Date: December 18, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Ulrich Schubert et al.

Examiner:

Not yet assigned

Serial No.:

09/913,927

Group Art Unit:

Not yet assigned

Filed:

August 20, 2001

Docket:

G&C 151.2-US-WO

Title:

SYNTHETIC PEPTIDE OF REGULATORY VIRUS PROTEIN R (VPR) OF HUMAN

IMMUNODEFICIENCY VIRUS TYPE 1 (HIV-1) AND THE UTILIZATION THEREOF

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on October 26, 2001.

By: Name: Karen S. Canady

BOX PCT

Commissioner for Patents U.S. Patent and Trademark Office Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- Verified statement to establish small entity status.
- Signed Combined Declaration and Power of Attorney.
- Form PTO-1533 Part 1 Notification of Missing Requirements Under 35 U.S.C. §371 in the United States Designated/Elected Office (Part 2 was not provided).
- PTO-2038 Credit Card Payment Form for the amount of \$65.00 for the Surcharge Fee is enclosed herewith.
- Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

CUSTOMER NUMBER 22462.

GATES & COOPER LLP

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045 (310) 641-8797

Name: Karen S. Canady

Reg. No.: 39,927

KSC/sjm

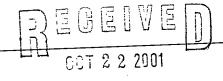
(PTO TRANSMITTAL - GENERAL)

▼ Commissioner For Patents, Box PCT, United	States Patent and Trademark Office, Wo	ashington, D.C.	20231, www.t	uspto.gov	
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT		ATTY	. DOCKET NO.	
09/913,927	Schubert Ulrich	151.2-US-WO			
		INTERNATIONAL APPLICATION NO.			
		PCT/DE00/00525			
Karan & Canada		I.A. FIL	ING DATE	PRIORITY DATE	
Karen S Canady Gates & Cooper		02/1	9/2000	02/19/1999	
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Los Angeles, CA 90045				ATION NO. 5585	
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NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- · Copy of IPE Report
- o Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- o Oath or Declaration
- o Preliminary Amendments
- Small Entity Statement



Catos & Cooper LLP

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - □ is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for xtension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

VONDA M WALLACE

Telephone: (703) 305-3736

PART 1 - ATTORNEY/APPLICANT COPY

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U.S. APPLICATION NUMBER NO.		INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.	
_	09/913,927	PCT/DE00/00525	151.2-US-WO	